



Privacy Notice

Pathway Financial Advisors, LLC (referred to as “Pathway”) maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients’ nonpublic personal information (“information”). Through this policy and its underlying procedures, Pathway attempts to secure the confidentiality of customer records and information and protect against anticipated threats of hazards to the security or integrity of customer records and information.

It is the policy of Pathway to restrict access to all current and former clients’ information (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax and affiliated/nonaffiliated entities that need to know that information in order to provide products or services to the client. Pathway may disclose the client’s information if Pathway is: (1) previously authorized to disclose the information to individuals and/or entities not affiliated with Pathway, including, accountant, insurance agent, broker-dealer, investment adviser, account custodian, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for processing and/or transmittal by Pathway in order to facilitate the commencement/continuation/termination of a business relationship between the client and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, insurance company, etc.), including information contained in any document completed and/or executed by the client for Pathway (i.e. advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

Pathway permits only authorized employees and affiliates who have signed a copy of Pathway’s Privacy Policy to have access to client information. Employees violating Pathway’s Privacy Policy will be subject to Pathway’s disciplinary process.

Should you have any questions regarding the above, please contact Scott A. Beaudin at the Pathway office at 110 Main Street, Suite 401, Burlington, VT 05401.